

INFORMATION DISCLOSURE CITATION

Atty. Docket No. 09227.0002	Serial No.
Applicant Yasunori SAKURABAYASHI et al.	
Filing Date October 20, 2003	Group:

U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate

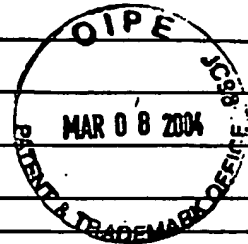
FOREIGN PATENT DOCUMENTS						
	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
RMS	2002-97009	04/2/2002	Japan			Abstract
RMS	2002-97010	04/2/2002	Japan			Abstract
RMS	2002-80211	03/19/2002	Japan			Abstract

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
RMS	Chemical Physics Letter 321, "Formation Mechanism of Fullerene Peapods and Coaxial Tubes: A Path to Large Scale Synthesis, Smith et al., April 21, 2000, pages 169-174.
RMS	Carbon 38, "Carbon Cage Structures in Single Wall Carbon Nanotubes: A New Class of Materials" Luzzi et al., 2000, pages 1751-1756.
RMS	Function & Materials, "Double-wall Carbon Nanotubes derived from Fullerene Arrays Generated Inside Single-wall Carbon Nanotubes: Nanometer Scale Test Tube", Vol. 21, No. 5, pages 85-91 (May 2001) with its English Abstract.
RMS	Chemical Physics Letters 315, "Carbon Nanotube Encapsulated Fullerenes: A Unique Class of Hybrid Materials, Smith et al., December 17, 1999, pages 31-36.
RMS	Physica B323, "Carbon Nanotubes: Past, Present, and Future", Iijima, 2002, pages 1-5.

Examiner <i>Rebecca H. Stader</i>	Date Considered <i>July 21, 2006</i>
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Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	09227.0002	Appln. No.	10/687,805
Applicant	Yasunori SAKURABAYASHI et al.		
Filing Date	October 20, 2003	Group:	1754



U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate

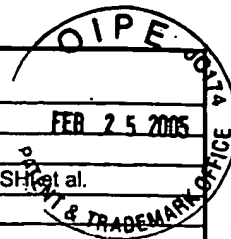
FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>RMS</i>	Koshio, Akira, et al., "Metal-free production of high-quality multi-wall carbon nanotubes, in which the innermost nanotubes have a diameter of 0.4 nm," <i>Chem. Phys. Letters</i> , 356 (2002), pp. 595-600
<i>ems</i>	Monthieux, M., "Filling single-wall carbon nanotubes, <i>Carbon</i> 40 (2002, pp. 1809-1823
<i>RMS</i>	Luzzi, David E., et al., "Nanoscopic hybrid materials: The synthesis, structure and properties of peapods, cats and kin" <i>Sci. and Appln. of Nanotubes, Proceedings of Nanotube '99, An International Conference</i> , July 24-27, 1999 (2000)

Examiner	<i>Rebecca H. Stadler</i>	Date Considered	<i>July 21, 2006</i>
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IDS Form PTO/SB/08: Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/687,805
				Filing Date	October 20, 2003
				First Named Inventor	Yasunori SAKURABAYASHI et al.
				Art Unit	1754
				Examiner Name	Not Assigned
Sheet	1	of	1	Attorney Docket Number	09227.0002

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials	Cite No. ¹	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US-			
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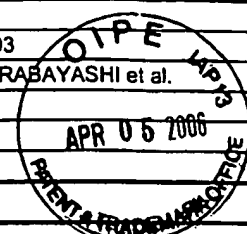
FOREIGN PATENT DOCUMENTS						
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ⁶
RW		Ci, L., et al., "Double wall carbon nanotubes promoted by sulfur in a floating iron catalyst CVD system," Chemical Physical Letters 359, June 13, 2002, pp 63-67	

Examiner Signature	Rebecca M. Hadler	Date Considered	July 21, 2006
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IDS Form PTO/SB/08: Substitute for form 1449A/PTO <h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center;">(Use as many sheets as necessary)</p>			<h3 style="text-align: center;">Complete if Known</h3> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>10/687,805</td> </tr> <tr> <td>Filing Date</td> <td>October 20, 2003</td> </tr> <tr> <td>First Named Inventor</td> <td>Yasunori SAKURABAYASHI et al.</td> </tr> <tr> <td>Art Unit</td> <td>1754</td> </tr> <tr> <td>Examiner Name</td> <td>Unknown</td> </tr> <tr> <td>Attorney Docket Number</td> <td>09227.0002</td> </tr> </table>			Application Number	10/687,805	Filing Date	October 20, 2003	First Named Inventor	Yasunori SAKURABAYASHI et al.	Art Unit	1754	Examiner Name	Unknown	Attorney Docket Number	09227.0002
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RMJ		US-6,495,116	12/17/02	Herman	
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Sheet

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of

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First Named Inventor

Yasunori SAKURABAYASHI et al.

Art Unit

1754

Examiner Name

Rebecca Stadler

Attorney Docket Number

09227.0002

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RNS		David E. Luzzi et al., "Carbon cage structures in single wall carbon nanotubes: a new class of materials," <i>Carbon</i> , 2000 Vol. 38, Nos. 11-12, pp 1751-1756	
RNS		M. Monthieux, et al., "Sensitivity of single-wall carbon nanotubes to chemical processing: an electron microscopy investigation," <i>Carbon</i> , 2001, Vol. 39, No. 8, pp. 1251-1272	

Examiner
Signature

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